



Docket No. 096990-026-127

*A*  
#5/B  
22 Jan 00  
R. Tallar

Commissioner of Patents and Trademarks  
BOX PATENT APPLICATION  
Washington, D.C. 20231

### NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): HEENAN, Sidney A.  
MONTALBANO, Anthony A.  
COMAN, Liviu A.  
COUZIN, Dennis I.



For (title):

RETROREFLECTIVE ARTICLES HAVING MICROCUBES, AND TOOLS AND  
METHODS FOR FORMING MICROCUBES

#### 1. Type of Application

This new application is for a(n):

- ☐ Original
- ☐ Design
- ☐ Plant
- ☒ Divisional
- ☐ Continuation
- ☐ Continuation-in-part (CIP)

#### 2. Benefit of Prior U.S. Appplication(s) (35 USC 120)

- ☒ The new application being transmitted claims the benefit of prior U.S. application(s) and enclosed are ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF PRIOR U.S. APPLICATION(S) CLAIMED.

#### 3. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Regular) or 37 CFR 1.153 (Design) Application

- 74 Pages of specification
- 17 Pages of claims
- 1 Pages of Abstract
- 27 Sheets of drawings
  - ☐ formal
  - ☒ informal

**4. Additional papers enclosed**

- ☒ Preliminary Amendment  
☐ Information Disclosure Statement  
☐ Form PTO-1449  
☐ Citations  
☐ Declaration of Biological Deposit  
☐ Authorization of Attorney(s) to Accept and Follow Instructions from Representative  
☐ Special Comments  
☐ Other

**5. Declaration or oath**

☐ Enclosed  
executed by

- ☐ inventor(s).  
☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43.  
☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.

☒ Not Enclosed.

- ☒ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.  
☐ Showing that the filing is authorized.

**6. Inventorship Statement**

The inventorship for all the claims in this application are:

☒ The same

or

- ☐ Are not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made,  
☐ is submitted.  
☐ will be submitted.

**7. Language**

- ☒ English  
☐ non-English  
☐ the attached translation is a verified translation. 37 CFR 1.52(d).

## 8. Assignment

  x   A copy of the assignment of the invention to **Stimsonite Corporation**

       is attached.

  x   will follow.

## 9. Certified Copy

Certified copy(ies) of application(s)

(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)
(country)	(appln. no.)	(filed)

from which priority is claimed

       is(are) attached.

       will follow.

## 10. Fee Calculation (37 CFR 1.16)

A.   x   Regular application

### CLAIMS AS FILED

	Number filed		Number Extra		Rate	Basic Fee
						\$ 760.00
Total Claims	111	-	20	=	91 x \$18.00 =	\$1,638.00
Independent Claims (37 CFR 1.16(b))	15	-	3	=	12 x \$ 78.00 =	\$ 936.00
Multiple dependent claim(s), if any (37 CFR 1.16(d))					\$260.00	\$ 260.00

       Amendment cancelling extra claims enclosed.

       Amendment deleting multiple dependencies enclosed.

       Fee for extra claims is not being paid at this time.

Filing Fee Calculation \$3,594.00

- B. ☐ **D sign application**  
(\$330.00 - 37 CFR 1.16(f))  
Filing Fee Calculation \$
- C. ☐ **Plant application**  
(\$480.00 - 37 CFR 1.16(g))  
Filing Fee Calculation \$

**11. Small Entity Statement(s)**

- ☐ Copy of the Verified Statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is attached  
Filing Fee Calculation (50% of A, B or C above) \$

**12. Request for International-Type Search (37 CFR 1.104(d))**

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

**13. Fee Payment Being Made At This Time**

- ☐ Not Enclosed
- ☐ No filing fee is to be paid at this time.
- ☒ Enclosed
- |   |            |
|---|------------|
| <input checked="" type="checkbox"/> basic filing fee  | \$3,594.00 |
| <input type="checkbox"/> recording assignment<br>(\$40.00; 37 CFR 1.21(h))  | \$         |
| <input type="checkbox"/> petition fee for filing by<br>other than all the inventors<br>or person on behalf of the<br>inventor where inventor<br>refused to sign or cannot<br>be reached. (\$130.00;<br>37 CFR 1.47 and 1.17(h)) | \$         |
| <input type="checkbox"/> for processing an application<br>with a specification in a<br>non-English language.<br>(\$130.00; 37 CFR 1.52(d)<br>and 1.17(k))   | \$         |
| <input type="checkbox"/> processing and retention<br>fee (\$130.00; 37 CFR<br>1.53(d) and 1.21(l))  |            |

\_\_\_\_\_ fee for international-type  
search report (\$35.00;  
37 CFR 1.21(e)) \$  
  
TOTAL \$3,594.00

**14. Method of Payment of Fees**

  x   One check in the amount of \$3,594.00  
  
\_\_\_\_\_ Charge Account No. 10-1202 in the amount of \$\_\_\_\_\_. A duplicate of this  
transmittal is attached.

**15. Authorization to Charge Additional Fees**

  X   The Commissioner is hereby authorized to charge the following additional  
fees by this paper and during the entire pendency of this application to  
Account No. 10-1202:  
  
        X   37 CFR 1.16(a), (f) or (g) (filing fees)  
        X   37 CFR 1.16(b), (c) and (d) (presentation of extra claims)  
        X   37 CFR 1.16(e) (surcharge for filing the basic filing fee  
            and/or declaration on a date later than the filing date of the  
            application)  
        X   37 CFR 1.17 (application processing fees)  
      \_\_\_\_\_ 37 CFR 1.18 (issue fee at or before mailing of Notice of  
            Allowance, pursuant to 37 CFR 1.311(b))

**16. Instructions As To Overpayment**


\_\_\_\_\_ credit Account No. 10-1202  
  X   refund

Date: December 2, 1999

Respectfully requested,

By: Sandra B. Weiss  
Sandra B. Weiss  
Attorney for Applicant  
Reg. No. 30,814  
JONES, DAY, REAVIS & POGUE  
77 West Wacker Drive  
Chicago, Illinois 60601-1692  
(312) 782-3939

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date December 2, 1999 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number E1245532923US addressed to the: Commissioner of Patents and Trademarks, Box Patent Application, Washington, D.C. 20231.

Paulette Haseltine  
  
 (Signature of person mailing paper)

ADDED PAGES FOR NEW TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION(S) CLAIMED

17. Relate Back - 35 U.S.C. 120

  X   Amend the Specification by inserting the first line the sentence:

"This is a

       continuation

       continuation-in-part

  X   divisional

of copending application(s)

  X   U.S. serial number 08/655,595 filed on May 30, 1996.

       International Application                      filed on                      and which designated  
the U.S."

18. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed

  X   This application discloses and claims additional disclosure by incorporation and a new  
declaration or oath is being filed. With respect to the prior application the inventor(s) in  
this application are

  X   the same

       the following inventor(s) have been added

The inventorship for all the claims in this application are

  X   the same

       not the same, and an explanation, including the ownership of the  
various claims at the time of the last claimed invention was made

       is submitted

       will be submitted.